

**DEPARTMENTS OF LABOR, HEALTH AND HUMAN  
SERVICES, EDUCATION, AND RELATED AGENCIES  
APPROPRIATIONS FOR 2003**

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**HEARINGS**  
BEFORE A  
SUBCOMMITTEE OF THE  
COMMITTEE ON APPROPRIATIONS  
HOUSE OF REPRESENTATIVES  
ONE HUNDRED SEVENTH CONGRESS  
SECOND SESSION

SUBCOMMITTEE ON THE DEPARTMENTS OF LABOR, HEALTH AND  
HUMAN SERVICES, EDUCATION, AND RELATED AGENCIES

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and FRANCINE MACK-SALVADOR, *Subcommittee Staff*

**PART 5**

**DEPARTMENT OF EDUCATION**

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## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986 (20 U.S.C. 4301 et seq.), [\$55,376,000] \$52,014,000, <sup>1</sup> of which [\$5,376,000] \$1,600,000 shall be for construction and shall remain available until expended [*Provided*, That from the total amount available, the Institute may at its discretion use funds] <sup>2</sup> and of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207: <sup>3</sup> *Provided, that such \$1,000,000 is available until expended.* (*Department of Education Appropriations Act, 2002*)

Note—Each language provision that is followed by a footnote reference is explained in the Analysis of Language Provisions and Changes document which follows the appropriation language.

## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

Analysis of Language Provisions and Changes

Language Provision	Explanation
<sup>1</sup> <u>... of which \$1,600,000 shall be for construction and shall remain available until expended</u>	This language earmarks \$1.6 million for construction and provides for these funds to remain available until expended.
<sup>2</sup> <u>... and of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207:</u>	This language earmarks \$1 million for the Endowment Grant program.
<sup>3</sup> <u>Provided, that such \$1,000,000 is available until expended.</u>	This provision provides for the \$1,000,000 earmarked for the Endowment Grant program to remain available until expended.

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NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

Amounts Available for Obligation  
(\$000s)

	2001	2002	2003
<b>Discretionary authority:</b>			
Annual appropriation	<u>\$53,376</u>	<u>\$55,376</u>	<u>\$52,014</u>
Total obligations	53,376	55,376	52,014

Obligations by Object Classification  
(\$000s)

	2001	2002	2003
Grants, subsidies and contributions	\$53,376	\$55,376	\$52,014

**NATIONAL TECHNICAL INSTITUTE FOR THE DEAF**

Summary of Changes  
((\$000s))

2002.....	\$55,376
2003.....	<u>52,014</u>
Net change.....	-3,362

	<u>2002 base</u>	<u>Change from base</u>
<u>Increases:</u>		
<u>Program:</u>		
Endowment – additional funds are requested to further promote the financial independence of the Institute.	\$586	+5414
Construction – funds are requested for a new construction project to repave and improve roadways, walkways, and parking lots associated with NTID buildings.	0	<u>+1,600</u>
Subtotal, increases		+2,014
<u>Decreases:</u>		
<u>Program:</u>		
Construction – the final phase of a 3-year project to renovate NTID's corridors was completed in fiscal year 2002. No additional funds are needed for this project.	5,376	<u>-5,376</u>
Subtotal, decreases		-5,376
Net change		-3,362

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

Authorizing Legislation  
(\$000s)

Activity	2002 Authorized	2002 Estimate	2003 Authorized	2003 Request
Operations (EDA-I-B)	Indefinite	\$50,000	Indefinite	\$49,414
Endowment grant (EDA-II-207)	Indefinite	0	Indefinite	1,000
Construction (EDA-I-112)	Indefinite	<u>5,376</u>	Indefinite	<u>1,600</u>
Total definite authorization	0		0	
Total appropriation		55,376		52,014

## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

Appropriations History  
(\$000s)

	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
1994	\$41,836	\$41,836	\$41,836	\$41,836
1995	43,191	41,462	43,191	43,191
1996	43,041	42,180	42,180	42,180
1997	43,041	43,041	43,041	43,041
1998	43,041	43,841	44,141	44,141
1999	44,791	44,791	45,500	45,500
2000	47,925	48,151	48,151	48,151
2001	51,786	54,000	54,366	53,376
2002	52,570	55,376	54,976	55,376
2003	52,014			



**NATIONAL TECHNICAL INSTITUTE FOR THE DEAF****Significant Items in FY 2002 Appropriations Reports****Endowment Matching Grant**

**House:** The Bill allows NTID to transfer a portion of its appropriation to the endowment at its discretion. The Committee directs NTID to report to it within 15 days of executing such a transfer.

**Response:** NTID has not matched any funds yet for fiscal year 2002. However, the Department will provide notification to the Committee within the 15-day timeline if the Institute requests matching funds and provides appropriate certification.

**Matching Funds for Construction**

**Senate:** The Committee directs the Department of Education not to impose a matching requirement on the dormitory renovation construction project.

**Response:** The Department has not imposed any matching requirement on the \$5.376 million designated for construction in the fiscal year 2002 appropriation for NTID.

**NATIONAL TECHNICAL INSTITUTE FOR THE DEAF**

**National Technical Institute for the Deaf**

(Education of the Deaf Act of 1986, Title I, Part B and Section 207)

FY 2003 Authorization (\$000s): Indefinite

Budget Authority (\$000s):

	<u>2002</u>	<u>2003</u>	<u>Change</u>
Operations	\$50,000	\$49,414	-\$586
Construction	5,376	1,600	-3,776
Endowment	<u>0</u>	<u>1,000</u>	<u>+1,000</u>
Total	55,376	52,014	-3,362

**PROGRAM DESCRIPTION**

The National Technical Institute for the Deaf (NTID), located in Rochester, New York, on the campus of the Rochester Institute of Technology (RIT), was created by Congress in 1965 to promote the employment of persons who are deaf by providing technical and professional education for the Nation's young people who are deaf. The National Technical Institute for the Deaf Act was superseded by the Education of the Deaf Act of 1986 (EDA). This Act continued the authority of the Department of Education to contract with the host institution and provide a Federal subsidy to the National Technical Institute for the Deaf so that it may continue to provide postsecondary educational opportunities for individuals who are deaf.

The Department maintains a contract with RIT for the operation of a residential facility for postsecondary technical training and education for individuals who are deaf. The purpose of the special relationship with the host institution is to provide NTID and its students access to more facilities, institutional services, and career preparation options than could be otherwise provided by a national technical institute for the deaf standing alone. RIT provides NTID students with options for courses of study from seven other colleges; health and counseling services; library, physical education, and recreation facilities; and general services such as food, maintenance, grounds, and security. Indirect cost rates are established by RIT governing reimbursement for each of the services it provides and to cover tuition charges for NTID students enrolled in RIT programs. These rates are approved by the Division of Cost Allocation of the United States Department of Health and Human Services. This is a current-funded program for which funds are available from October 1 to September 30. As such, fiscal year 2003 funds would be primarily used for the 2002-03 school year.

NTID offers a variety of technical programs at the certificate, diploma, and associate degree levels. Degree programs include majors in business, engineering, science, and visual communications. In addition, NTID students may participate in approximately 200 educational programs available through the Rochester Institute of Technology. RIT offers advanced technological courses of study at the undergraduate and graduate degree levels. In fiscal year 1996, NTID initiated a master's degree program of its own to train secondary education teachers who will be teaching students who are deaf. Students enrolled in the program, who can be deaf or hearing, are charged the same tuition as students enrolled in other NTID programs, plus a graduate student tuition surcharge of 10 percent. The Institute also operates an Associate in Applied Science program to train interpreters for persons who are deaf and a tutor/notetaker

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training program. Students who are deaf who enroll in NTID or RIT programs are provided a wide range of support services and special programs to assist them in preparing for their careers, including tutoring, remedial and language enrichment programs, counseling, notetaking, interpreting, specialized educational media, cooperative work experience, and specialized job placement.

NTID conducts applied research in occupational and employment-related aspects of deafness, communication assessment, the demographics of NTID's target population, and learning processes in postsecondary education. In addition, NTID conducts training workshops and seminars related to deafness. These workshops and seminars are offered to professionals throughout the Nation who employ, work with, teach, or otherwise serve persons who are deaf.

Section 207 of the Education of the Deaf Act authorizes the Federal Endowment Grant program, which is designed to assist in meeting future needs of the Institute and to reduce its long-term Federal funding requirements by stimulating private contributions. The Institute has the discretion to determine the amount of funds it wants to set aside from the appropriation as matching funds under the endowment grant program. Subject to the availability of appropriations, the Secretary makes payments to the endowment program equal to sums contributed to the fund from non-Federal sources. No portion of the endowment fund corpus may be withdrawn, except with the Secretary's approval and only due to a financial emergency or life-threatening situation. NTID may retain up to 50 percent of the income of the fund for expenses necessary to the operation of the Institute. After a period of 10 years from an initial investment, NTID does not have to follow Federal investment restrictions related to the corpus and may use the entire amount of the interest associated with that year's match.

As of September 30, 2001, the market value of NTID's endowments, including Federal, private, and reinvested funds was \$20.245 million. In fiscal year 2001, the Institute used \$511,202 in interest available to it from these endowments, primarily to support scholarships for students. The Federal Endowment Grant program contributed \$270,757 of this amount. The remaining interest from that year was reinvested in the Endowment Grant program corpus, as specified in section 207. About 89 percent of the funds withdrawn from the Federal Endowment Grant program by NTID were used to support scholarships for students. The remaining 11 percent was used for a variety of purposes, including support of a lecturer position, performing arts programs, research activities, and support services to provide access for NTID students to campus religious activities.

The 2001 appropriation represents approximately 81 percent of NTID's total budget. The remaining funds come from tuition, fees, private contributions, endowment income, and Federal grants and contracts. The \$11.1 million in revenue derived from tuition, room, board, and fees is substantially comprised of payments from Federal vocational rehabilitation and Federal student financial aid programs. For example, in 2001, NTID students received \$4,566,571 from Vocational Rehabilitation, and \$875,264 in Pell Grants. The Institute also provided \$673,147 in grant-in-aid out of the Federal appropriation and \$970,866 in scholarships from Federal and other endowment income. The total of these funds, including \$342,740 in State grants and \$54,597 in RIT grant-in-aid, is \$7,683,185 or approximately \$6,842 per domestic student. In addition to direct aid, NTID students received \$1,044,977 in subsidized Federal Family Education Loans and \$648,381 in unsubsidized Federal student loans. International students pay tuition, room, board, and fees and are included in the revenue figure cited above. However, these students, with the exception of permanent residents, are not eligible for Federal student

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### National Technical Institute for the Deaf

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financial aid or vocational rehabilitation payments. International students do receive limited support from private grants and scholarships derived from interest accrued under the Federal Endowment Grant program.

NTID also receives grants and contracts from the Federal and New York State governments that are awarded through the competitive process. In fiscal year 2001, the Institute received \$4.76 million in Federal and State grants and contracts.

Funding levels for the past 5 fiscal years were:

	(\$000s)
1998 .....	\$44,141
1999 .....	45,500
2000 .....	48,151 <sup>1</sup>
2001 .....	53,376 <sup>2</sup>
2002 .....	55,376 <sup>2</sup>

<sup>1</sup> Includes \$2,651,000 for construction.

<sup>2</sup> Includes \$5,376,000 for construction.

### FY 2003 BUDGET REQUEST

The Department requests \$52.014 million for the National Technical Institute for the Deaf for fiscal year 2003. The request maintains funding for operations at the fiscal year 2002 level, moves \$586,000 previously provided for the Endowment Grant program in the operations base to a separate activity, and increases funding for the Endowment Grant program by \$414,000 to a total of \$1 million. The request also includes \$1.6 million for construction projects at NTID for fiscal year 2003, a reduction of \$3.776 million from the fiscal year 2002 level. The third and final phase of a major construction project to renovate NTID's dormitories was completed in early fiscal year 2002. The \$5.376 million appropriated for this one-time project in 2002 is no longer needed. The \$1.6 million is for new construction projects at NTID. The Department believes that the request is adequate to maintain all operations and existing levels of programs at the Institute. The Department supports funding for NTID to help promote educational and employment opportunities for persons who are deaf.

**Program Operations.** NTID requested \$51.533 million for operations, which included an increase of \$1.133 million above its 2002 base for program operations, plus an increase of \$400,000 for the Endowment Grant program. The Endowment request is discussed below and includes \$586,000 in existing funds that were included in the fiscal year 2002 appropriation for operations, but are proposed to be moved to a separate activity for fiscal year 2003. The adjusted base of \$49.414 million for operations is maintained in fiscal year 2003.

Due to the size of the appropriations increase for fiscal year 2002, the Institute is funding in 2002 \$575,000 in initiatives originally requested for fiscal year 2003. These initiatives relate to updating labs and classrooms and adding three captionist positions. These funds are retained in the base for fiscal year 2003, including the \$500,000 for one-time costs of updating labs and classrooms. Funding the one-time activities in 2002 reduces the need for new funding in 2003.

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and provides \$500,000 that may be used for other purposes in 2003. In addition to funding from the appropriation, NTID receives revenue from tuition and fees, private giving, and grants and contracts. The Institute estimates that it should receive approximately \$400,000 in additional revenue from tuition, room, board, and fees in fiscal year 2003. The Institute has the flexibility to apply the funding from the one-time initiatives in 2002 and the increase in tuition revenues to its highest funding priorities.

NTID also has been increasingly successful in raising private funds. In fiscal year 2001, the Institute received \$322,198 in cash to endowed funds, \$2,075,690 in other cash, and \$322,860 in gifts in kind, primarily equipment and software for specific programs at NTID. NTID also receives significant revenue from grants and contracts, approximately \$4.7 million in fiscal year 2001. This includes a grant under the Office of Special Education and Rehabilitative Services' Technical Assistance and Dissemination program for \$1 million per year to administer one of the four Regional Centers on Postsecondary Education for Individuals who are Deaf. The remainder of the grants and contracts consisted of \$2.39 million in awards from Federal agencies and \$1.34 million from New York State and private foundations.

Endowment Grant Program. The Institute requested an additional \$400,000 for the Endowment Grant program for fiscal year 2003. This would be on top of the \$586,000 already in the base for this program in fiscal year 2002. The Department's request includes an additional \$414,000 above the base for the Endowment Grant program and specifies that funding for the Endowment Grant program shall be provided as a separate activity. The combination of the existing funding with the requested increase would bring total funding available for this program to \$1 million, an increase of 71 percent above the base.

The Department strongly supports the objectives of the Endowment Grant program and wants to encourage increased participation by NTID in this program. We believe that increasing the Institute's endowment helps to promote the financial independence of the Institute through creation of a permanent and increasing source of funds for enrichment activities and special projects. However, we are concerned about the uneven performance of the Institute in raising matching funds and the potential for competing priorities to divert funds designated for this program to other purposes. While NTID matched \$590,000 in fiscal year 2000, it only matched \$390,984 in fiscal year 1999 and did not match any funds in fiscal year 2001, even though it raised over \$2.7 million in total contributions.

For fiscal year 2003, the Department is proposing several changes to address our prior concerns about NTID's ability to raise the additional funds. To ensure that funds requested for the Endowment Grant program remain available for this purpose, the Department requests that funding for the Endowment Grant program be provided as a separate line item. To compensate for concerns that NTID may not be able to match the full amount in the current fiscal year, the funds would be made available until expended. To address the possibility that the Institute might be able to match more than the \$1 million, language has been included providing it the flexibility to use additional funds from its annual appropriation for operations for matching purposes.

The authority for this program was proposed by the Department and incorporated into the Education of the Deaf Act of 1986. At the time it was instituted, a separate line item was included in the appropriation for the Endowment Grant program. However, NTID frequently had difficulty raising the full amount of funds provided. In fiscal year 1996, the Department proposed

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to provide the Institute with increased flexibility regarding the use of funds set aside for the Endowment Grant program. This flexibility allowed the Institute to use Endowment Grant funds for operations if it could not match the entire amount in a given year. At that time, the Department proposed to fold the \$336,000 set aside for the Endowment Grant program into the amount for general operations, and Congress adopted this proposal. In fiscal year 2000, the Department proposed to increase the amount targeted for the Endowment Grant program by \$250,000, which was adopted by Congress. The \$586,000 continues to be carried forward in the base. The Department's request would move the \$586,000 to a separate Endowment Grant program activity. Combined with the \$414,000 increase, the fiscal year 2003 request would provide \$1 million for this program.

Construction Request. The Department is requesting \$1.6 million for a new construction project to repave and improve roadways, walkways, and parking lots associated with NTID buildings. The construction is necessary due to severe cracking, pothole, and drainage problems with roadway, sidewalk, and parking areas adjacent to NTID facilities. The scope of the construction includes repaving all areas, improving drainage, reconstructing paving bricks and replacing trees, curb and pavement construction, and lawn restoration. All of the areas are adjacent to NTID buildings. In return for this work, NTID would not be expected to participate in the expenditures for similar work performed by RIT to areas adjacent to its buildings.

**PROGRAM PERFORMANCE**

The primary purpose of higher education is to educate students so they are well prepared to enter professional careers in their chosen fields. In fiscal year 2001, the graduation rate for students in the sub-baccalaureate programs was maintained at 50 percent, just below NTID's target of 52 percent. However, the graduation rate for students in the baccalaureate programs increased to 64 percent, resulting in an overall graduation rate of 54 percent for all deaf students. This is consistent with NTID's overall target of 53 percent. By comparison, the average graduation rate for students starting in 4-year institutions, who graduate within 4 years of entry, is 60.4 percent. This figure provides some context, but is not directly comparable because students who are deaf take an average of 6 to 7 years to graduate from a baccalaureate program. The Institute's goal is to increase the rate for students in sub-baccalaureate programs to 52 percent in fiscal year 2003 and maintain the rate for students in baccalaureate programs above 60 percent or at least equal to the Rochester Institute of Technology rate for hearing students of 58 percent.

NTID enrolled 1,234 students in the fall of 2001, including 1,121 undergraduates, 49 educational interpreter program trainees, and 64 graduate students. Of these, 103 were international students. The Institute exceeded its target of 1,080 undergraduate students, but did not meet its targets of 100 interpreter trainees and 75 graduate students. NTID believes that the educational interpreter program is below target primarily due to more rigorous entrance requirements. The Institute is implementing an aggressive recruitment program that should result in higher enrollments. The Master of Science in Secondary Education program is fairly new. While it did not meet its target, enrollment has increased each year for this program.

NTID has placed 90 percent of its fiscal year 2000 graduates in employment. This is somewhat below the Institute's target of 95 percent and its historical record. However, it is still a very high

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percentage. NTID believes that the decline in the placement rate is primarily due to a downturn in economic conditions. The placement rates are calculated as the percentage of graduates who are employed among those who want to be employed. Those individuals who continue their education or who are not seeking employment, for whatever reasons, are not included. Data are not yet available for 2001 graduates.

The Education of the Deaf Act Amendments of 1998 included a new requirement for the National Technical Institute for the Deaf to seek public input on its deafness-related research priorities. The Institute is working on a mechanism to assess information gleaned from the field and expects that baseline information will be available in fiscal year 2002. NTID is soliciting feedback on its research priorities through its research publications and research website. In addition, the Institute plans to ask three national deafness-related professional organizations to invite their members to visit NTID's research website to provide feedback on its research priorities. During fiscal year 2001, NTID's research faculty published 35 research reports and delivered a total of 114 presentations, workshops, and lectures, including those presented internally and external to NTID.

**PROGRAM OUTPUT MEASURES**

	<u>2001</u>	<u>2002</u>	<u>2003</u>
Annual tuition and fees: <sup>1</sup>			
Tuition (U.S. students):			
Undergraduate	\$6,099	\$6,405	\$6,726
Graduate	6,708	7,047	7,398
Fees	537	550	575
Charges for Resident Students:			
Room	\$4,095	\$4,260	\$4,431
Board	<u>3,171</u>	<u>3,300</u>	<u>3,432</u>
Total (U.S. students, with tuition & fees)	13,902	14,515	15,164
Tuition (International students): <sup>2</sup>			
Undergraduate	\$12,198	\$12,810	\$13,452
Graduate	13,418	14,091	14,797
Percent of support for operations provided by this appropriation	81%	81%	81%
Estimated average cost per student <sup>3</sup>	\$39,889	\$41,200	\$41,600
Estimated average educational cost per student <sup>4</sup>	\$29,557	\$30,566	\$31,500
Student data (deaf students only - includes international students):			
Applications	740	728	750
Acceptances	513	472	550
Percent of applications accepted	69%	65%	70%
Registrations	386	366	385

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**National Technical Institute for the Deaf**

	<u>2001</u>	<u>2002</u>	<u>2003</u>
<b>Enrollment:</b>			
Total students	1,113	1,151	1,150
Technical	(642)	(681)	(700)
Professional:			
Undergraduate	(447)	(440)	(430)
Graduate	(24)	(30)	(20)
<b>Other enrollments (hearing and deaf students):</b>			
Educational interpreting program (associate degree)	75	49	75
Master's in special education	31	34	40
<b>International students: <sup>5</sup></b>	(96)	(103)	(110)
International students as a percent of total enrollment	7.9%	8.0%	8.5%
<b>Minority enrollment <sup>6</sup></b>	(236)	(241)	(250)
Minorities as a percent of total enrollment	23.3%	23.0%	24.0%
<b>Summer Vestibule Program</b>	256	241	250
<b>Performance data:</b>			
Graduates <sup>7</sup>	199	200	200
Graduation rate	54%	53%	53%
Placement rate (estimates) <sup>8</sup>	90%	95%	95%
Co-op (work experience) placements	228	250	250
<b>Student services:</b>			
Hours of interpreting	91,765	93,000	93,000
Hours of note taking	43,636	44,000	45,000
Hours of tutoring	13,783	14,000	14,500
<b>Numbers of persons participating in Public Service Activities:</b>			
Interpreters trained (continuing education)	114	100	100
Tutors/note takers trained	162	175	175
School personnel trained	280	300	300
Employees/career counselors trained	173	300	300
Explore Your Future (HS Juniors)	226	250	250
Career Awareness Program	544	500	500
<b>Public service activities (\$000s)</b>	\$1,432	\$1,492	\$1,554



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	<u>2001</u>	<u>2002</u>	<u>2003</u>
<b>Research:</b>			
Federal Funds (\$000s)	\$1,985	\$2,068	\$2,154
Research publications	35	50	50
<b>Personnel (In full-time equivalents): *</b>			
Full-time faculty	231	220	220
Full-time staff	<u>285</u>	<u>292</u>	<u>295</u>
Subtotal	516	512	515
Part-time faculty	16	13	13
Part-time staff	<u>16</u>	<u>13</u>	<u>13</u>
Subtotal	32	26	26
<b>Total faculty and staff</b>	<b>548</b>	<b>538</b>	<b>541</b>
Minorities as a % of faculty	6.5%	7.5%	8.1%
Minorities as a % of staff	9.0%	9.5%	10.0%
Disabled as a % of faculty	21.9%	22%	23%
Disabled as a % of staff	23.6%	24%	25%
Total faculty/student ratio <sup>10</sup>	1:5.0	1:5.0	1:5.3
Teaching faculty/student ratio <sup>11</sup>	1:8.5	1:8.5	1:8.5
<b>Faculty (Average salaries):</b>			
Professor	\$84,717	\$86,489	\$90,000
Associate professor	64,637	66,769	69,000
Assistant professor	55,140	56,636	58,000
Instructor	43,061	45,261	46,000

<sup>1</sup> The last tuition plan agreed upon by the NTID, Gallaudet University, and the Department of Education expired in school year 1991-92. For school year 1992-93 and beyond, rates specific to each school are proposed by each institution according to its individual needs, within a cap for increases in any given year of 10 percent.

<sup>2</sup> The Education of the Deaf Act (EDA) Amendments of 1982 established a tuition surcharge for international students of 90 percent. The EDA Amendments of 1996 increased the surcharge to 100 percent, beginning with school year 1999-2000.

<sup>3</sup> The average cost per student figure is based on total estimated expenditures, excluding the categories of sponsored and other research, public services, auxiliary enterprises, and construction. The student count includes all students, including deaf undergraduate and graduate students and hearing students enrolled in the master's of special education program and interpreter training program. Estimates for fiscal years 2002 and 2003 are based on projected increases in enrollment.

<sup>4</sup> In addition to research, public services, and auxiliary enterprises, the educational cost per student figure developed by NTID excludes student support services such as audiology, speech, remedial English, and psychological services. It also excludes portions of the cost of admissions, placement, instructional media, and some other administrative categories that address unique aspects of NTID's mission.

<sup>5</sup> The EDA Amendments of 1992 established a cap on the enrollment of international students of 10 percent of total student enrollment. The EDA Amendments of 1996 raised the cap to 15 percent.

<sup>6</sup> Includes all students (deaf and hearing, interpreter training students, and graduate students) except international students.

<sup>7</sup> Figure includes all students who obtain a certificate, diploma or associate, bachelor's, or master's degree who leave for full-time employment or continue at RIT/NTID in pursuit of a higher degree.

<sup>8</sup> The estimates are based on employment levels one year following graduation, as recorded in NTID placement records.

## NATIONAL TECHNICAL INSTITUTE FOR THE DEAF

**National Technical Institute for the Deaf**

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<sup>9</sup> Counts are taken as of October of each fiscal year and represent start-of-year positions funded in the budget.

<sup>10</sup> Includes administrators and research faculty. Does not include RIT faculty who teach the 34 percent of NTID's students enrolled in RIT courses.

<sup>11</sup> Does not include RIT faculty who teach the 34 percent of NTID's students enrolled in RIT courses.

Department of Education

GALLAUDET UNIVERSITY

Fiscal Year 2003 Budget Request

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## GALLAUDET UNIVERSITY

For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986 (20 U.S.C. 4301 et seq.), [\$96,938,000: *Provided*, That from the total amount available, the University may at its discretion use funds] \$94,446,000,<sup>1</sup> of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207;

<sup>2</sup> *Provided*, that such \$1,000,000 is available until expended. (*Department of Education Appropriations Act, 2002.*)

Note—Each language provision that is followed by a footnote reference is explained in the Analysis of Language Provisions and Changes document which follows the appropriation language.

## GALLAUDET UNIVERSITY

Analysis of Language Provisions and Changes

Language Provision	Explanation
<u><sup>1</sup> of which not less than \$1,000,000 shall be used for the endowment program as authorized under section 207:</u>	This language earmarks \$1 million for the Endowment Grant program.
<u><sup>2</sup> Provided, that such \$1,000,000 is available until expended.</u>	This provision provides for the \$1,000,000 earmarked for the Endowment Grant program to remain available until expended.

1118

**GALLAUDET UNIVERSITY**

Amounts Available for Obligation  
((\$000s))

	2001	2002	2003
<b>Discretionary authority:</b>			
Annual appropriation	<u>\$89,400</u>	<u>\$96,938</u>	<u>\$94,446</u>
Total obligations	89,400	96,938	94,446

Obligations by Object Classification  
((\$000s))

	2001	2002	2003
Grants, subsidies and contributions	\$89,400	\$96,938	\$94,446

1119

GALLAUDET UNIVERSITY

Summary of Changes  
(\$000s)

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2002.....	\$96,938
2003.....	<u>94,446</u>
Net change.....	-2,492

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	<u>2002 base</u>	<u>Change from base</u>
<u>Decreases:</u>		
<u>Program:</u>		
Security System - decrease in one-time costs associated with a comprehensive upgrade of the University's security system completed in fiscal year 2002.	\$4,000	<u>-2,492</u>
Net change		-2,492

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**GALLAUDET UNIVERSITY**

Authorizing Legislation  
(\$000s)

Activity	2002 Authorized	2002 Estimate	2003 Authorized	2003 Request
University programs (EDA-I-A)	Indefinite	\$96,938	Indefinite	\$93,446
Elementary and secondary education programs (EDA-I-A)	Indefinite	0	Indefinite	0
Endowment grant (EDA-II-207)	Indefinite	0	Indefinite	\$1,000
Construction (EDA-I-103)	<u>Indefinite</u>	<u>0</u>	<u>Indefinite</u>	<u>0</u>
Total definite authorization	0		0	
Total appropriation		96,938		94,446

15

1120



1121

GALLAUDET UNIVERSITY

Appropriations History  
(\$000s)

	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation
1994	\$77,435	\$77,435	\$79,435	\$78,435
1995	80,030	76,742	80,030	80,030
1996	80,030	77,629	77,629	77,629
1997	80,030	79,182	79,182	79,182
1998	79,182	80,682	81,000	81,000
1999	83,480	83,480	83,480	83,480
2000	85,120	85,980	85,500	85,980
2001	87,650	89,400	87,650	89,400
2002	89,400	95,600	97,000	96,938
2003	94,446			

## GALLAUDET UNIVERSITY

Significant Items in FY 2002 Appropriations ReportsEndowment Matching Grant

**House:** The Bill includes a provision that allows Gallaudet University to transfer a portion of its appropriation to the endowment at its discretion. The Committee directs the Department to report to it within 15 days of executing such a transfer.

**Response:** Gallaudet has not matched any funds yet for fiscal year 2002. However, the Department will provide notification to the Committee within the 15-day timeline if the University requests matching funds and provides appropriate certification.

Campus Security

**House:** The Committee has provided funding above the request to assist Gallaudet in making campus-wide security upgrades, including the installation of additional outdoor lighting and emergency blue lights.

**Senate:** The Committee recommendation includes funding to enable Gallaudet to maintain and enhance its technological base, continue investments in faculty and staff, and support improvements in physical facilities, including campus security.

**Response:** The University plans to spend \$2.45 million of the fiscal year 2002 appropriations increase on personnel compensation and benefits, \$4 million on security related enhancements, and the remaining \$1.088 million on a variety of technology and other initiatives.

## GALLAUDET UNIVERSITY

**Gallaudet University**  
 (Education of the Deaf Act, Title I, Part A and Section 207)

FY 2003 Authorization (\$000s): Indefinite

Budget Authority (\$000s):

	<u>2002</u>	<u>2003</u>	<u>Change</u>
Operations	\$96,938	\$93,446	-\$3,492
Endowment	<u>0</u>	<u>1,000</u>	<u>+1,000</u>
Total	96,938	94,446	-2,492

**PROGRAM DESCRIPTION**

Gallaudet University, which received its Federal charter in 1864, is a private, nonprofit educational institution providing elementary, secondary, undergraduate, and continuing education programs for persons who are deaf. The University offers a traditional liberal arts curriculum for students who are deaf and graduate programs in fields related to deafness for students who are deaf and students who are hearing. Gallaudet also conducts a wide variety of basic and applied deafness research and provides public service programs for persons who are deaf and professionals who work with persons who are deaf. To increase the effectiveness of its instructional programs, the University provides communications training, counseling, and other support services for its students. This is a current-funded program for which funds are available from October 1 to September 30.

Gallaudet operates two federally funded elementary and secondary education programs on the main campus of the University. The Kendall Demonstration Elementary School (KDES) operates an elementary school for children who are deaf, and the Model Secondary School for the Deaf (MSSD) provides secondary education programs for students who are deaf. The Federal Government funds these programs in order to support the development, evaluation, and dissemination of model curricula, instructional techniques and strategies, and materials that can be used in a variety of educational environments serving individuals who are deaf and individuals who are hard of hearing throughout the Nation. The Education of the Deaf Act requires MSSD and KDES to include students preparing for postsecondary opportunities other than college and students with a broad spectrum of needs, such as students who are lower achieving academically, come from non-English speaking homes, have secondary disabilities, are members of minority groups, or are from rural areas. The Act also requires the elementary and secondary education programs to ensure that special education and related services provided to children enrolled in these programs by their parents are in accordance with Part B of the Individuals with Disabilities Education Act (IDEA). For children placed by a local educational agency (LEA), that agency is responsible for ensuring that special education and related services are provided to those children in accordance with Part B of IDEA. The Act also makes specific procedural safeguards from the IDEA applicable to parentally placed children at KDES or MSSD that would not otherwise apply because they are not placed by their LEAs.

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Gallaudet University

The Federal endowment grant program is designed to assist in meeting the future needs of Gallaudet University by producing a growing independent source of revenue for the University. No portion of the Federal endowment fund corpus may be withdrawn, except with the Secretary's approval and only due to a financial emergency or life-threatening situation. The Secretary makes payments to the endowment program equal to sums contributed to the fund from non-Federal sources. Matching funds may not include transfers from other endowment, restricted, or Federal funds received by the University. Gallaudet University may retain up to 50 percent of the income of the fund for expenses necessary to the operation of the University such as personnel, construction and renovation, community and student services, technical assistance, and research. The other 50 percent of the interest returns to the corpus. After a period of 10 years from an initial investment, the University does not have to follow Federal investment limitations and may access the entire amount of the interest associated with a year's match. The University has the discretion to determine the amount of funds to be designated for use under the endowment grant program, subject to the availability of appropriations. As of September 30, 2001, the value of the Federal Endowment Grant program corpus, including Federal, private, and reinvested funds, was \$53.1 million.

The Federal appropriation represents approximately 70 percent of total unrestricted revenue for the University. In 2002, the Federal Government is providing \$96.94 million to Gallaudet for the operation of the University, the Kendall Demonstration Elementary School, and the Model Secondary School for the Deaf, including \$1 million that the University has set aside for the Endowment Grant program. Gallaudet does not include Federal funds it uses for the Endowment Grant program in calculating the percentage of its revenue that comes from the appropriation. The net revenue figure, which excludes the \$1 million for the Endowment Grant program, constitutes approximately 62.4 percent of total estimated funding for university level instructional, research, and outreach programs and 98.1 percent of total estimated funding for MSSD and KDES. These figures significantly understate the true percentage of funding that comes from the Federal Government, not only because they exclude the \$1 million from the appropriation that the University set aside for the Federal Endowment Grant program, but because the figure the University uses for non-Federal revenue includes interest from the Federal Endowment Grant program, approximately \$3.8 million in fiscal year 2001, and revenue the University receives from Federal sources such as grants and contracts. This other income included Federal grants and contracts of about \$2.24 million in fiscal year 2001.

The approximately \$15 million the University received in fiscal year 2001 from tuition and fees is also largely derived from payments provided by Federal vocational rehabilitation and Federal student financial aid programs. In fiscal year 2001, Gallaudet estimates that its students received over \$8.1 million in vocational rehabilitation payments to cover student costs related to tuition, fees, and other expenses. Students also received \$958,661 in Pell Grants, \$34,995 from Federal Supplemental Educational Opportunity Grants, and \$116,996 in Federal Work-Study Grants. Gallaudet students and their families also participated in Federal student loan programs, which provided over \$3.6 million in Perkins, Stafford, and other loans for fiscal year 2001. The University supplemented the above funding with approximately \$4.6 million in institutional grants-in-aid and scholarships, and students received \$233,238 in assistance from other sources. Total Federal assistance for fiscal year 2001, including loans and vocational rehabilitation payments, came to over \$13 million. The University reports that the average student aid package, for students requesting aid, came to \$12,287. By way of comparison, the

## GALLAUDET UNIVERSITY

Gallaudet University

total cost of attending Gallaudet in 2001 was \$15,000 (\$7,350 for tuition and \$7,650 for room, board, and fees). In addition to financial aid, a majority of Gallaudet students also receive Supplemental Security Income/Social Security Disability Income payments.

Funding levels for the past 5 fiscal years were:

	(\$000s)
1998.....	\$81,000
1999.....	83,480
2000.....	85,980
2001.....	89,400
2002.....	96,938

**FY 2003 BUDGET REQUEST**

The Department is requesting \$94.4 million for Gallaudet University for fiscal year 2003. The request maintains funding for ongoing operations at the fiscal year 2002 level. However, the fiscal year 2002 base is reduced by \$2.5 million for one-time expenditures related to implementation of a comprehensive security system upgrade by the University. Funding that was provided in fiscal year 2002 related to staffing and maintaining the upgraded security system is retained in the operations base. The request provides support for university level programs, the Model Secondary School for the Deaf (MSSD), and the Kendall Demonstration Elementary School (KDES). The request also includes \$1 million for the Federal Endowment Grant program, which is requested as a separate activity.

Gallaudet requested \$99.7 million for operations, which included \$4 million for security enhancements. The \$4 million request for security included \$2,192,500 for technological improvements, which included 100 additional security cameras, expansion of the emergency "blue light" system, an improved ID card access system and new entry doors for the dormitories, improved campus lighting and fencing, and reconfigured access to the main campus entrance. The figure also included \$300,000 to implement a program to educate students about security issues. The remaining funds are intended to cover the cost of increasing the presence of professional staff in each dormitory, increasing the number of security personnel, and hiring staff to maintain the computerized Simplex security system. While requested for fiscal year 2003, the appropriation for fiscal year 2002 included the \$4 million for the security enhancements. The fiscal year 2003 request maintains funding for program operations at the fiscal year 2002 level, minus \$2,492,500 related to the one-time expenditures for security system construction and equipment and the security education program.

The University's request did not include specific amounts for other program increases or initiatives. However, it identified planning themes that might include initiatives such as new certificate and degree programs, gifted and talented programs for the elementary and secondary education programs at the Clerc Center (MSSD and KDES) and the University, and increased support for distance learning activities. Without more specific information, the Department cannot evaluate the necessity for increased funds. As such, funding is maintained at the fiscal year 2002 level for operations, as adjusted for the completion of one-time security system upgrades.

**GALLAUDET UNIVERSITY****Gallaudet University**

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Gallaudet proposes to, once again, set aside \$1 million from the appropriation to match privately raised endowment funds, as provided for under the Federal Endowment Grant program. The Department strongly supports the purposes of this program. The Endowment Grant program has helped to promote the financial independence of the University through creation of a permanent and increasing source of funds for enrichment activities and special projects. In fiscal year 2001, Gallaudet used almost \$3.8 million in investment income from its Federal and private endowments to support university operations, primarily to supplement the University's grant-in-aid program. To ensure that adequate funding is designated for this purpose and the University has adequate time to raise the necessary funds, the Department has proposed appropriations language to designate \$1 million for the Endowment Grant program as a separate line item in the appropriation. In this way, the funds are specified for this purpose and would remain available until expended. This is consistent with the Department's proposal for the NTID Endowment Grant program. The University would retain the discretion to match additional funds using current funding from operations.

In addition to the appropriation and ongoing funding from other sources, Gallaudet anticipates receiving an additional \$2.3 million in revenue from increased tuition charges in fiscal year 2003. Gallaudet also has been very successful in raising private funds for construction and other purposes. The University has raised over \$20.4 million in total over the past 10 years for new construction and renovations. In addition, and well ahead of schedule, the University raised \$34 million for its first-ever comprehensive capital campaign, "Unite for Gallaudet." This is \$4 million more than the \$30 million target for this campaign. The University plans to use approximately \$10 million of the funds raised through the capital campaign for a new state-of-the-art student academic center that will feature high tech classrooms, new computer laboratories, a multi-media center, and a career center. Gallaudet held a groundbreaking for the new center on January 31, 2001.

The University plans to use \$15 million to increase its non-Federal endowment programs, including endowments to support faculty through programs such as the creation of additional endowed chairs and teaching assistantships and to support students through increased scholarships and Internships. An additional \$7 million would be used to expand programs in areas such as professional development, library resources, technology and access, career success, and language, community, and culture. The remaining \$1.8 million had not been designated at the time of the University's submission.

**PROGRAM PERFORMANCE**

The primary purpose of higher education is to educate students so they are well prepared to enter professional careers in their chosen fields. In June of 2001, 142 students received bachelor's degrees from Gallaudet, 13 less than in 2000 and the lowest number in 10 years. In part, this may be due to the fact that the number of undergraduate students entering the University has been declining. The typical undergraduate student at Gallaudet takes six years to complete a degree. In 1995, only 339 new students were enrolled, the lowest level in ten years. The result is that the estimated graduation rate of 41.89 percent, calculated as the number of graduates in 2001 over the number of students entering six years prior, is consistent with or higher than the rate for most of the prior years. However, while the rate is higher, the

**GALLAUDET UNIVERSITY****Gallaudet University**

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number of students involved is lower. The five-year moving average of graduation rates, as of 2001, was 40.6 percent.

Undergraduate enrollment at Gallaudet has steadily declined over the past ten years from 1,825 in 1990 to 1,243 in the Fall of 2001. This has occurred despite the Federal Government loosening the restrictions on enrolling international students, an extensive recruitment program implemented by the University, an open admissions policy, and enrollment of more students in special programs. On the other hand, over the same period, the University's graduate school enrollment increased from 443 students to 517. Maintaining or increasing enrollment is difficult for Gallaudet because of the literacy and communications challenges facing many of its students and the need to achieve an appropriate balance between providing access to as many students as possible and maintaining high standards. In addition, students who are deaf and hard of hearing have increasing access to programs at regular postsecondary institutions and large numbers of students who are deaf and hard of hearing are attending these programs.

The University conducts an annual employment survey of recent graduates. For 2001, 79 graduates responded, or 36 percent of the total number of undergraduate and graduate students who completed their education. Of the graduates from the bachelor degree programs who responded, 52 percent worked in education, 19 percent for nonprofits, 14 percent in business, 10 percent in government, and 5 percent in other areas. Of the graduates from the masters and doctoral degree programs who responded, 68 percent worked in education, 18 percent for nonprofits, 10 percent in business, and 2 percent in government.

In fiscal year 2001, an evaluation team representing the Commission on Higher Education of the Middle States Association of Colleges and Schools conducted an accreditation review of Gallaudet University. Overall, the report was very positive. For example, the review team found that the recent administrative reorganization, whereby four vice presidents and seven deans were collapsed into a provost position with four deans and a vice president for administration and business with four executive directors, established clear lines of responsibility and authority and provided for greater communication, coordination, and cooperation among administrative units. However, the report also included a number of concerns. The team found that the faculty committee structure is duplicative, cumbersome, and burdensome. In response to this recommendation, the University is setting up a group to evaluate the current structure and make recommendations. The report also expressed concern that Gallaudet recruits too much of its faculty from its own graduates and recommended that the University recruit deaf faculty from a broader range of institutions.

The Middle States report contained several recommendations related to retention. While the team was impressed by the extent of the curriculum, it encouraged the University to provide an even greater emphasis on English competence and incorporate literacy as a component throughout the curriculum. It pointed out that, without English competence, students are destined not to succeed academically, and the University will not achieve its goal of increasing retention rates. In addition, the report touched on an issue raised by some students that the regular courses are not sufficiently challenging due to the academic divide between students who are well-prepared for college and students who are less well-prepared and recommended that the University consider creating more honors courses. The team also recommended that Gallaudet explore ways of creating credible, externally recognized credentials for students who

## GALLAUDET UNIVERSITY

Gallaudet University

are unable to complete the bachelor's degree requirements such as associate degrees or certificates of competence. Related to this, the report commended the University for its efforts to design and implement various assessment strategies, but recommended that the University better coordinate outcomes assessment among units and establish clear and measurable objectives for the University in general. The report was complimentary concerning Gallaudet's technology initiatives and the progress the University has made, pointing out that it has exceeded its planned commitment to upgrading technology. However, the report indicated a need for campus-wide technology policies and specifications to be determined and uniformly implemented. The University agreed with the majority of the recommendations in the report and is working on responses to address the concerns.

**PROGRAM OUTPUT MEASURES**

	<u>2001</u>	<u>2002</u>	<u>2003</u>
Estimated total expenditures (\$000s): <sup>1</sup>			
University programs	\$98,250	\$105,788	\$103,014
Elementary and secondary education programs <sup>2</sup>	<u>26,950</u>	<u>26,950</u>	<u>27,232</u>
Total	125,200	132,738	130,246
Annual tuition and fees:			
Base tuition	\$7,350	\$7,870	\$8,420 <sup>3</sup>
Room	4,190	4,320	4,450 <sup>3</sup>
Board	3,150	3,250	3,350 <sup>3</sup>
Fees	<u>310</u>	<u>310</u>	<u>310<sup>3</sup></u>
Total	15,000	15,750	16,530
International student surcharge	\$7,350	\$7,870	\$8,420
Graduate student surcharge	\$735	\$787	\$842
Additional surcharge for foreign graduate students	\$735	\$787	\$842
Federal appropriation as a percent of total revenues <sup>4</sup>	71%	70%	70%
Estimated average cost per student <sup>5</sup>	\$37,273	\$42,117	\$39,500



## GALLAUDET UNIVERSITY

Gallaudet University

	<u>2001</u>	<u>2002</u>	<u>2003</u>
<b>Program enrollment: *</b> (Full- and part-time students)			
Undergraduates	1,321	1,243	1,250
Professional Studies	93	92	70
Graduate students	<u>625</u>	<u>517</u>	<u>700</u>
Total	2,039	1,852	2,020
International students	(180)	(194)	(300)
International student percentage	8.8%	10.5%	14.9%
Model Secondary School for the Deaf (MSSD)	205	194	225
Kendall Demonstration Elementary School (KDES)	148	143	140
<b>Sponsored Programs:</b>			
Proposals	44	45	45
Awards	27	24	24
Funding (\$000s)	\$3,965	\$2,400	\$2,400
<b>Research:</b>			
Sponsored research funding (\$000s)	\$783	\$1,145	\$1,145
Appropriated research budget (\$000s)	\$4,037	\$4,100	\$4,100
Gallaudet research publications	167	100	100
<b>Number of persons served by Gallaudet outreach programs:</b>			
University programs	33,999	50,000	50,000
Precollege programs <sup>7</sup>	<u>179,516</u>	<u>150,000</u>	<u>150,000</u>
Total	213,515	200,000	200,000
Precollege outreach products distributed	232,233	200,000	200,000
Student support services contact hours <sup>8</sup>	161,045	125,000	125,000
<b>Student/faculty ratios:</b>			
University programs	8.3:1	7.9:1	8.5:1
MSSD	5.4:1	5.5:1	5.5:1
KDES	4.9:1	5.1:1	5.0:1

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## Gallaudet University

	<u>2001</u>	<u>2002</u>	<u>2003</u>
Number of employees:			
Permanent positions	1,103	1,138	1,133
Temporary	11	19	20
Grant or revenue supported	49	58	50

<sup>1</sup> Estimated total expenditures are calculated according to the new Financial Accounting Standards Board accounting and auditing standards for private higher education institutions that were promulgated in 1996 and may not be comparable to figures provided in prior years.

<sup>2</sup> The new estimates based on the new accounting system do not provide dollar figures for the elementary and secondary education programs for overhead items such as physical plant, maintenance, and security. These costs are estimated based on historical spending patterns and come to approximately one-third of the amounts incurred for direct costs. These figures have been added to the direct costs to derive the total expenditure figures for the elementary and secondary education programs.

<sup>3</sup> The University proposes tuition rates based on internal projections of expenditures and revenues, subject to an annual cap on increases of 10 percent that was imposed by the Department in fiscal year 1992. The Gallaudet University Board of Trustees approved the level for school year 2002-2003 at its October 2001 meeting.

<sup>4</sup> The revenue base used for this calculation includes unrestricted income from all sources, including research grants and indirect payments such as Federal student financial aid and vocational rehabilitation payments. Amounts from the appropriation that Gallaudet sets aside for the Federal Endowment Grant program are excluded from the revenue calculation. The revenue amounts for 1998 and beyond also include changes required by the Financial Accounting Standards Board, primarily to show realized and unrealized investment gains related to unrestricted funds that were previously excluded from this calculation. These changes have the effect of decreasing the percent shown for Federal support below comparable percentages displayed in prior years when unrealized investment gains were excluded.

<sup>5</sup> This amount is based on total estimated expenditures, excluding the categories of sponsored and other research, public services, and auxiliary enterprises. This amount is divided by the total number of students enrolled. The University does not differentiate between full and part-time students in reporting enrollment figures, so part-time students are treated as full-time for the purpose of this calculation. For 1998 and beyond, the figures include changes required by the Financial Accounting Standards Board to include depreciation and physical plant funds, which are now distributed among the other categories. These changes have the effect of increasing the cost per student above the comparable levels shown in prior years before depreciation was included.

<sup>6</sup> These figures include full-time, part-time, and extension program students. The Higher Education Act Amendments of 1998 amended the EDA to increase the limit on enrollment of international students from 10 percent to 15 percent of total enrollment.

<sup>7</sup> The Pre-College National Mission Programs (PCNMPs) have changed their strategy for providing outreach and their methodology for counting the number of persons served. The PCNMPs have expanded their outreach beyond deafness-related conferences and technical assistance opportunities to include mainstream educational conferences and general education venues. PCNMPs are also now counting all attendees at these conferences and other venues as individuals served.

<sup>8</sup> Student support services contact hours include tutorial services, academic advising, the career center, services for students with secondary disabilities, counseling services, and student affairs activities.